Recipient/PTO 15 APR 2

PATENT Docket No. 449122077600

I hereby certify that this correspondence is being hand delivered to the U.S. Patent and Trademark Office in Alexandria, Virginia on the date shown below.

Dated: April 15, 2005 Signature:

(Janice Rosier/ct)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Helmut KAHRS et al.

Application No.: 10/511,392

Filed: October 15, 2004

For: METHOD FOR ELECTRODYNAMICALLY

**BRAKING A RAIL VEHICLE** 

Art Unit: Unassigned

Examiner: Unassigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. A copy of the documents are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Application No.: 10/511,392 Atty. Docket No. 449122077600

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 449122077600.

Dated: April 15, 2005

Respectfully submitted,

Kevin R. Spivak

Registration No.: 43,148

MORRISON & FOERSTER LA

1650 Tysons Blvd, Suite 300 McLean, Virginia 22102

(703) 760-7762 - Telephone

## ALTERNATIVE TO PTO/SB/08a/b (06-03)

Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/511,392	
INFORMATION DISCLOSURE				Filing Date	October 15, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Helmut KAHRS	
				Art Unit	Not yet assigned	
(Use as many sheets as necessary)				Examiner Name	Not yet assigned	
Sheet	1	of	1	Attorney Docket Number	449122077600	

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	4,225,813	9-30-1980	Sahasrabudhe	
	2.	4,270,716	6-02-1981	Anderson	

		FOREIG	GN PATENT D	OCUMENTS		
Examiner	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				T <sup>6</sup>
	3.	GB-861,973	3-01-1961			
	4.	DE-3228772-A1	2-17-1983		Abstract - Eng.	
	5.	EP-0321987-A2	6-28-1989			1
	6.	DE-4107514-A1	9-10-1992		Abstract - Eng.	
	7.	DE-4225683-C2	2-10-1994		Abstract- Eng.	
	8.	DE-19823348-A1	11-25-1999		Abstract - Eng.	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

<sup>\*</sup>EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.